

07-25-01

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOOKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION	
	CLASS	SUBCLASS	EXAMINER	ART UNIT
8694.49USC1				

## CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EL920772330US  
Date of Deposit: 25 July 2001

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Washington, D.C. 20231.

By: *Omesh Singh*  
Name: Omesh Singh

11000 U.S. PTO  
09/912711  
07/25/01

## CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

## BOX PATENT APPLICATION

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This is a request for filing a continuation application under 37 CFR § 1.53(b) of Serial No. 09/052408, filed on 31 March 1998 entitled STORAGE MEDIA HAVING ELECTRONIC CIRCUIT AND COMPUTER SYSTEM INCLUDING STORAGE MEDIA by the following inventor(s):

Full Name Of Inventor	Family Name SHIGETOMI	First Given Name Takashi	Second Given Name
Residence & Citizenship	City Miyagi-ken	State or Foreign Country Japan	Country of Citizenship Japan
Post Office Address	Post Office Address c/o Kabushiki Kaisha OPTROM, 27, Kamiyashi-azamatsubara, Aoba-ku, Sendai-shi	City Miyagi-ken	State & Zip Code/Country Japan
Full Name Of Inventor	Family Name SAITO	First Given Name Tetsuo	Second Given Name
Residence & Citizenship	City Miyagi-ken	State or Foreign Country Japan	Country of Citizenship Japan
Post Office Address	Post Office Address c/o Kabushiki Kaisha OPTROM, 27, Kamiyashi-azamatsubara, Aoba-ku, Sendai-shi	City Miyagi-ken	State & Zip Code/Country Japan
Full Name Of Inventor	Family Name KOMAKI	First Given Name Tsunematsu	Second Given Name
Residence & Citizenship	City Tokyo	State or Foreign Country Japan	Country of Citizenship Japan
Post Office Address	Post Office Address c/o Advanced Electronics Kabushiki Kaisha, 2-10, Asakusabashi 1-chome, Taito-ku	City Tokyo	State & Zip Code/Country Japan

1. ☒ Enclosed is a copy of the prior application; including the specification, claims, drawings, oath or declaration showing the applicant's signature, and any amendments referred to in the oath or declaration filed to complete the prior application. (It is noted that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.) The continuing application is as follows: 23 pages of specification, 27 claims, 1 pages of abstract, 10 sheets of drawings, and 4 pages of oath or declaration.
- ☒ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
2. ☐ Cancel original claims of this application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
3. ☒ The filing fee is calculated below:

CLAIMS AS FILED

NUMBER FILED	NUMBER EXTRA		RATE	FEE
TOTAL CLAIMS: 27	-20	7	x	\$9.00
INDEPENDENT CLAIMS 8	-3	5	x	\$40.00
			BASIC FILING FEE:	\$355.00
			TOTAL FILING FEE:	\$618.00

- ☒ Small entity status is claimed pursuant to 37 CFR 1.27.
4. ☒ Payment of fees:
  - ☒ Attached is a check in the amount of \$618.00
  - ☐ Please charge Deposit Account No. 13-2725.
5. ☒ The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-2725.
6. ☒ Amend the specification by inserting before the first line the sentence:
 

"This application is a continuation of application Serial No. 09/052408, filed 31 March 1998, which application(s) are incorporated herein by reference."
7. ☒ A set of formal drawings (10 sheets) is enclosed.
8. ☒ Priority of application Serial No. 9-162962, filed on 19 June 1997 in Japan, is claimed under 35 U.S.C. 119.
  - ☒ The certified copy has been filed in prior application Serial No. 09/052408, filed 31 March 1998.
9. ☒ The prior application is assigned of record to Kabushiki Kaisha OPTROM located at 27, Kamiyashi-azamatsubara, Aoba-ku, Sendai-shi, Miyagi-ken, Japan.
10. ☒ The Power of Attorney in the prior application is to:

Merchant & Gould P.C.  
Minneapolis, MN 55402-2215

11. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
- ☒ Fee for excess claims is attached.
12. ☒ A petition and fee has been filed to extend the term in the prior application until 25 July 2001. A copy of the petition for extension of time in the prior application is attached.
13. ☐ The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted:
14. ☐ A Nonpublication Request under 37 CFR 1.213(a) is enclosed.
15. ☐ Also Enclosed:
16. ☒ Address all future communications to the **Attention of Curtis B. Hamre** (may only be completed by attorney or agent of record) at the address below.
17. ☒ A return postcard is enclosed.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612.332.5300



*Curtis B. Hamre*  
Curtis B. Hamre  
Reg. No. 29,165  
CBH/kjr

Date:

*July 25, 2001*

S/N 09/052408

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	SHIGETOMI et al.	Examiner:	PEYTON, T.
Serial No.:	09/052408	Group Art Unit:	2182
Filed:	31 March 1998	Docket No.:	8694.49US01
Title:	STORAGE MEDIUM HAVING ELECTRONIC CIRCUIT AND COMPUTER SYSTEM INCLUDING STORAGE MEDIA		

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07/25/01

CERTIFICATE UNDER 37 CFR 1.10

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Date of Deposit: 25 July 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By:

Name: Omesh Singh

PETITION FOR EXTENSION OF TIME

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. §1.136(a), it is respectfully requested that a three-month extension of time be granted in which to respond to the outstanding Office Action mailed 25 January 2001, said period of response being extended from 25 April 2001 to 25 July 2001.

Our check in the amount of \$445.00 is enclosed to cover the required extension fee for a small entity.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
(612)332.5300

By:

*Curtis B. Hamre*

Curtis B. Hamre

Reg. No. 29,165

Dated: 25 July 2001

CBH/kjr